

June 6, 2018

United States Bankruptcy Court  
Dirksen Federal Building  
219 S. Dearborn St., Room 713  
Chicago, Illinois 60604

**FILED**  
UNITED STATES BANKRUPTCY COURT  
NORTHERN DISTRICT OF ILLINOIS

JUN 11 2018

JEFFREY P. ALLSTEADT, CLERK  
TEAM - CA

RE: Peregrine Financial Group, Inc.  
Case No. 12-27488  
Response of AssetDynamics Alternatives  
LLC to Trustees 28<sup>th</sup> Omnibus Objection

ASSETDYNAMICS ALTERNATIVES LLC  
MR. DONALD W. ROORK, CFP, CKA  
3335 MEIJER DRIVE SUITE 100;  
TOLEDO, OHIO 43617

Gentlemen:

Commissions earned by AssetDynamics Alternatives LLC qualifies under Section 507(a)(4)(B) because the commissions were earned in the sale of services in the ordinary course of business with 180 days of the petition date, and during the 12-month period preceding the petition date, 100% of the earnings of AssetDynamics Alternatives LLC earnings was earned from PFG Best.

Enclosed in this mailing are copies of commission statements and QuickBooks reports showing earnings.

Please call me with questions at 419-841-6700 Ext. 222

Please reply to:

Mr. Donald W. Rook, CFP, CKA,  
AssetDynamics Alternatives LLC  
3335 Meijer Drive Suite 100,  
Toledo, Ohio 43617.

SHOULD YOU REQUIRE ADDITIONAL INFORMATION, PLEASE CONTACT ME BY PHONE OR IN A FORMAL LETTER. THANK YOU.

SINCERELY,

A handwritten signature in black ink, appearing to read "D. Roork", with a long horizontal flourish extending to the right.

DONALD W. ROORK, CFP, CKA  
PRESIDENT: ASSETDYNAMICS ALTERNATIVES LLC  
3335 MEIJER DRIVE SUITE 100  
TOLEDO, OHIO 43617

419-841-6700 EXT 222  
DROORK@ASSETDYNAMICS.COM

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06/08/18

Accrual Basis

**Donald W. Rork, CFP**  
**Asset Dynamics Alternative**  
**All Transactions**

Type	Date	Num	Name	Memo	Amount
<b>ADA - AssetDynamics Alternative</b>					
<b>PFG Best</b>					
Deposit	02/22/2010		PFG Best - Peregrine Financial Group	January 2010	1,771.17
Deposit	03/16/2010		PFG Best - Peregrine Financial Group	February 2010	1,868.98
Deposit	04/20/2010		PFG Best - Peregrine Financial Group	March-10	1,985.82
Deposit	05/19/2010		PFG Best - Peregrine Financial Group	April-10	2,056.04
Deposit	06/18/2010		PFG Best - Peregrine Financial Group	May-10	1,797.98
Deposit	07/23/2010		PFG Best - Peregrine Financial Group	June-10	1,667.94
Deposit	08/23/2010		PFG Best - Peregrine Financial Group	July-10	1,466.01
Deposit	09/21/2010		PFG Best - Peregrine Financial Group	August - 2010	1,469.79
Deposit	09/21/2010		PFG Best - Peregrine Financial Group	March 2010	208.58
Deposit	09/21/2010		PFG Best - Peregrine Financial Group	April 2010	299.23
Deposit	09/21/2010		PFG Best - Peregrine Financial Group	May 2010	402.89
Deposit	09/21/2010		PFG Best - Peregrine Financial Group	June 2010	1,136.51
Deposit	09/21/2010		PFG Best - Peregrine Financial Group	July 2010	1,809.66
Deposit	09/21/2010		PFG Best - Peregrine Financial Group	August 2010	1,629.20
Deposit	10/20/2010		PFG Best - Peregrine Financial Group	Sept.2010	2,540.32
Deposit	11/16/2010		PFG Best - Peregrine Financial Group	Oct.2010	1,198.18
Deposit	11/16/2010		PFG Best - Peregrine Financial Group	Oct.2010	2,667.94
Deposit	12/21/2010		PFG Best - Peregrine Financial Group	Nov.2010	3,397.26
Deposit	01/20/2011	205632	PFG Best - Peregrine Financial Group	Dec.2010	3,438.49
Deposit	02/18/2011		PFG Best - Peregrine Financial Group	January 2011	4,016.71
Deposit	03/18/2011		PFG Best - Peregrine Financial Group	February 2011	3,436.95
Deposit	04/23/2011		PFG Best - Peregrine Financial Group	March 2011	3,669.27
Deposit	05/17/2011		PFG Best - Peregrine Financial Group	April 2011	3,390.58
Deposit	06/23/2011		PFG Best - Peregrine Financial Group	May 2011	3,747.30
Deposit	07/19/2011		PFG Best - Peregrine Financial Group	June 2011	2,873.82
Deposit	08/16/2011		PFG Best - Peregrine Financial Group	July 2011	4,280.19
Deposit	09/21/2011		PFG Best - Peregrine Financial Group	August 2011	4,577.35
Deposit	10/24/2011		PFG Best - Peregrine Financial Group	September 2011	4,715.96
Deposit	10/26/2011		PFG Best - Peregrine Financial Group	September 2011	155.16
Deposit	11/22/2011		PFG Best - Peregrine Financial Group	October 2011	5,164.33
Deposit	12/01/2011		PFG Best - Peregrine Financial Group	October 2011	1,019.10
Deposit	12/24/2011		PFG Best - Peregrine Financial Group	November 2011	4,993.31
Deposit	01/18/2012		PFG Best - Peregrine Financial Group	Dec.2011	5,069.78
Deposit	02/23/2012		PFG Best - Peregrine Financial Group	Jan.2012	5,029.46
Deposit	02/28/2012		PFG Best - Peregrine Financial Group	Jan.2012	261.80
Deposit	03/21/2012		PFG Best - Peregrine Financial Group	Feb.2012	5,342.97
Deposit	04/21/2012		PFG Best - Peregrine Financial Group	March 2012	4,351.93
Deposit	06/26/2012		PFG Best - Peregrine Financial Group	April & May 2012	7,327.67
Total PFG Best					106,235.63
Total ADA - AssetDynamics Alternative					106,235.63
<b>TOTAL</b>					<b>106,235.63</b>

**FILED**  
 UNITED STATES BANKRUPTCY COURT  
 NORTHERN DISTRICT OF ILLINOIS

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JEFFREY P. ALLSTEADT, CLERK  
 INTAKE 3

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06/08/18

Accrual Basis

**Donald W. Rork, CFP**  
**Asset Dynamics Alternatives Revenue**  
January 2010 through December 2012

---

	<u>Jan - Dec 10</u>	<u>Jan - Dec 11</u>	<u>Jan - Dec 12</u>
Ordinary Income/Expense			
Income			
ADA - AssetDynamics Alternative			
PFG Best	<u>29,373.50</u>	<u>49,478.52</u>	<u>27,383.61</u>
<b>Total ADA - AssetDynamics Alternative</b>	<u>29,373.50</u>	<u>49,478.52</u>	<u>27,383.61</u>
<b>Total Income</b>	<u>29,373.50</u>	<u>49,478.52</u>	<u>27,383.61</u>
<b>Gross Profit</b>	<u>29,373.50</u>	<u>49,478.52</u>	<u>27,383.61</u>
<b>Net Ordinary Income</b>	<u>29,373.50</u>	<u>49,478.52</u>	<u>27,383.61</u>
<b>Net Income</b>	<u><u>29,373.50</u></u>	<u><u>49,478.52</u></u>	<u><u>27,383.61</u></u>

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06/08/18

Accrual Basis

**Donald W. Rook, CFP**  
**Asset Dynamics Alternatives Revenue**  
January 2010 through December 2012

---

	<u>TOTAL</u>
Ordinary Income/Expense	
Income	
ADA - AssetDynamics Alternative	
PFG Best	106,235.63
Total ADA - AssetDynamics Alternative	<u>106,235.63</u>
Total Income	<u>106,235.63</u>
Gross Profit	<u>106,235.63</u>
Net Ordinary Income	<u>106,235.63</u>
Net Income	<u><u>106,235.63</u></u>

Account Name	Account #	Commissions Paid by client	Commissions Paid by PFG	Commissions Paid by client	Commissions Paid by PFG	Commissions Paid by client	Commissions Paid by PFG	Commissions Paid by client	Commissions Paid by PFG	Commissions Paid by client	Commissions Paid by PFG	Commissions Paid by client	Commissions Paid by PFG	Commissions Paid by client	Commissions Paid by PFG	Commissions Paid by client	Commissions Paid by PFG	Commissions Paid by client	Commissions Paid by PFG	Commissions Paid by client	Commissions Paid by PFG
J. Isaac Almaraz IRA	1010000000	\$ 653.34	\$ 458.34	\$ 766.34	\$ 355.78	\$ 284.34	\$ 286.77	\$ 457.76	\$ 361.58	\$ 457.76	\$ 361.58	\$ 457.76	\$ 361.58	\$ 457.76	\$ 361.58	\$ 457.76	\$ 361.58	\$ 457.76	\$ 361.58	\$ 457.76	\$ 361.58
Vermon Hansen Rev. Trust	3015482	\$ -	\$ -	\$ 79.00	\$ 116.84	\$ 154.00	\$ 126.76	\$ 112.00	\$ 125.83	\$ 154.00	\$ 126.76	\$ 112.00	\$ 125.83	\$ 154.00	\$ 126.76	\$ 112.00	\$ 125.83	\$ 154.00	\$ 126.76	\$ 112.00	\$ 125.83
Standa Wastelosh	915124	\$ 686.00	\$ 622.24	\$ 600.00	\$ 117.71	\$ 2,305.00	\$ 208.60	\$ 2,305.00	\$ 208.60	\$ 686.00	\$ 622.24	\$ 600.00	\$ 117.71	\$ 2,305.00	\$ 208.60	\$ 2,305.00	\$ 208.60	\$ 686.00	\$ 622.24	\$ 600.00	\$ 117.71
Den Books IRA	3015478	\$ 375.00	\$ 57.79	\$ 2,075.00	\$ 236.80	\$ 1,300.00	\$ 183.88	\$ 1,300.00	\$ 183.88	\$ 375.00	\$ 57.79	\$ 2,075.00	\$ 236.80	\$ 1,300.00	\$ 183.88	\$ 1,300.00	\$ 183.88	\$ 375.00	\$ 57.79	\$ 2,075.00	\$ 236.80
Walker Barron IRA	3015477	\$ 500.00	\$ 127.54	\$ 520.00	\$ 112.42	\$ 500.00	\$ 115.87	\$ 500.00	\$ 115.87	\$ 500.00	\$ 115.87	\$ 500.00	\$ 115.87	\$ 500.00	\$ 115.87	\$ 500.00	\$ 115.87	\$ 500.00	\$ 115.87	\$ 500.00	\$ 115.87
Frank Living Trust	3015476	\$ 499.00	\$ 129.81	\$ 600.00	\$ 115.87	\$ 600.00	\$ 115.87	\$ 600.00	\$ 115.87	\$ 499.00	\$ 129.81	\$ 600.00	\$ 115.87	\$ 600.00	\$ 115.87	\$ 600.00	\$ 115.87	\$ 499.00	\$ 129.81	\$ 600.00	\$ 115.87
Vermon Hansen IRA	3015475	\$ 645.00	\$ 182.35	\$ 600.00	\$ 115.87	\$ 600.00	\$ 115.87	\$ 600.00	\$ 115.87	\$ 645.00	\$ 182.35	\$ 600.00	\$ 115.87	\$ 600.00	\$ 115.87	\$ 600.00	\$ 115.87	\$ 645.00	\$ 182.35	\$ 600.00	\$ 115.87
Albert Sprenger Trust	3015474	\$ 800.00	\$ 124.14	\$ 1,120.00	\$ 103.57	\$ 800.00	\$ 107.53	\$ 800.00	\$ 107.53	\$ 800.00	\$ 107.53	\$ 800.00	\$ 107.53	\$ 800.00	\$ 107.53	\$ 800.00	\$ 107.53	\$ 800.00	\$ 107.53	\$ 800.00	\$ 107.53
Chris McCormick IRA	3015473	\$ 280.00	\$ 23.87	\$ 600.00	\$ 107.89	\$ 486.00	\$ 108.64	\$ 486.00	\$ 108.64	\$ 280.00	\$ 23.87	\$ 600.00	\$ 107.89	\$ 486.00	\$ 108.64	\$ 486.00	\$ 108.64	\$ 280.00	\$ 23.87	\$ 600.00	\$ 107.89
Ruth B. Regier Trust	3015472	\$ -	\$ -	\$ 300.00	\$ 36.34	\$ 480.00	\$ 127.08	\$ 480.00	\$ 127.08	\$ -	\$ -	\$ 300.00	\$ 36.34	\$ 480.00	\$ 127.08	\$ 480.00	\$ 127.08	\$ -	\$ -	\$ 300.00	\$ 36.34
Thomas Rouch IRA	3015471	\$ -	\$ -	\$ 600.00	\$ 107.89	\$ 486.00	\$ 108.64	\$ 486.00	\$ 108.64	\$ -	\$ -	\$ 600.00	\$ 107.89	\$ 486.00	\$ 108.64	\$ 486.00	\$ 108.64	\$ -	\$ -	\$ 600.00	\$ 107.89
Richard Saffira	3015470	\$ -	\$ -	\$ 400.00	\$ 72.61	\$ 400.00	\$ 72.61	\$ 400.00	\$ 72.61	\$ -	\$ -	\$ 400.00	\$ 72.61	\$ 400.00	\$ 72.61	\$ 400.00	\$ 72.61	\$ -	\$ -	\$ 400.00	\$ 72.61
Nancy Malone IRA	3015469	\$ -	\$ -	\$ 300.00	\$ 36.34	\$ 480.00	\$ 127.08	\$ 480.00	\$ 127.08	\$ -	\$ -	\$ 300.00	\$ 36.34	\$ 480.00	\$ 127.08	\$ 480.00	\$ 127.08	\$ -	\$ -	\$ 300.00	\$ 36.34
Bruce Rittersbach	3015468	\$ 554.00	\$ -	\$ 600.00	\$ 107.89	\$ 486.00	\$ 108.64	\$ 486.00	\$ 108.64	\$ 554.00	\$ -	\$ 600.00	\$ 107.89	\$ 486.00	\$ 108.64	\$ 486.00	\$ 108.64	\$ 554.00	\$ -	\$ 600.00	\$ 107.89
Rita Corder-Seebay	3015467	\$ -	\$ -	\$ 600.00	\$ 107.89	\$ 486.00	\$ 108.64	\$ 486.00	\$ 108.64	\$ -	\$ -	\$ 600.00	\$ 107.89	\$ 486.00	\$ 108.64	\$ 486.00	\$ 108.64	\$ -	\$ -	\$ 600.00	\$ 107.89
7/1 - JULY PAYOUT DETAILS		GROSS COMM - DT1 \$ 4,175.34		GROSS COMM - DT1 \$ 6,877.34		GROSS COMM - DT1 \$ 11,438.34		GROSS COMM - DT1 \$ 11,438.34		GROSS COMM - DT1 \$ 6,877.34		GROSS COMM - DT1 \$ 4,175.34		GROSS COMM - DT1 \$ 6,877.34		GROSS COMM - DT1 \$ 11,438.34		GROSS COMM - DT1 \$ 11,438.34		GROSS COMM - DT1 \$ 6,877.34	
7/1 - OCTOBER PAYOUT DETAILS		GROSS COMM - DT1 \$ 6,931.33		GROSS COMM - DT1 \$ 7,985.48		GROSS COMM - DT1 \$ 9,833.39		GROSS COMM - DT1 \$ 9,833.39		GROSS COMM - DT1 \$ 7,985.48		GROSS COMM - DT1 \$ 6,931.33		GROSS COMM - DT1 \$ 7,985.48		GROSS COMM - DT1 \$ 9,833.39		GROSS COMM - DT1 \$ 9,833.39		GROSS COMM - DT1 \$ 7,985.48	
8/1 - AUGUST PAYOUT DETAILS		GROSS COMM - M3 \$ 2,854.27		GROSS COMM - M3 \$ 2,835.25		GROSS COMM - M3 \$ 2,744.97		GROSS COMM - M3 \$ 2,744.97		GROSS COMM - M3 \$ 2,835.25		GROSS COMM - M3 \$ 2,854.27		GROSS COMM - M3 \$ 2,835.25		GROSS COMM - M3 \$ 2,744.97		GROSS COMM - M3 \$ 2,744.97		GROSS COMM - M3 \$ 2,835.25	
8/1 - SEPTEMBER PAYOUT DETAILS		GROSS COMM - M3 \$ 2,854.27		GROSS COMM - M3 \$ 2,835.25		GROSS COMM - M3 \$ 2,744.97		GROSS COMM - M3 \$ 2,744.97		GROSS COMM - M3 \$ 2,835.25		GROSS COMM - M3 \$ 2,854.27		GROSS COMM - M3 \$ 2,835.25		GROSS COMM - M3 \$ 2,744.97		GROSS COMM - M3 \$ 2,744.97		GROSS COMM - M3 \$ 2,835.25	
TOTAL PAID BY CLIENT		\$ 7,583.56		\$ 9,712.59		\$ 14,182.31		\$ 14,182.31		\$ 9,712.59		\$ 7,583.56		\$ 9,712.59		\$ 14,182.31		\$ 14,182.31		\$ 9,712.59	
TOTAL PAID TO ASSET DYNAMICS		\$ 4,260.19		\$ 4,577.35		\$ 4,715.98		\$ 4,715.98		\$ 4,577.35		\$ 4,260.19		\$ 4,577.35		\$ 4,715.98		\$ 4,715.98		\$ 4,577.35	
Commissions Received/Paid		\$ 3,323.37		\$ 5,145.24		\$ 9,466.33		\$ 9,466.33		\$ 5,145.24		\$ 3,323.37		\$ 5,145.24		\$ 9,466.33		\$ 9,466.33		\$ 5,145.24	
Payments Discrepancy		\$ 1,861.19		\$ 2,291.35		\$ 2,715.98		\$ 2,715.98		\$ 2,291.35		\$ 1,861.19		\$ 2,291.35		\$ 2,715.98		\$ 2,715.98		\$ 2,291.35	

Commissions Paid by Client	Commissions Paid by PFG		Commissions Paid by Client		Commissions Paid by PFG		Commissions Paid by Client		Commissions Paid by PFG		Commissions Paid by Client		Commissions Paid by PFG	
	12/31/17	12/31/17	12/31/17	12/31/17	12/31/17	12/31/17	12/31/17	12/31/17	12/31/17	12/31/17	12/31/17	12/31/17	12/31/17	12/31/17
346.46	239.34	346.94	188.78	339.11	188.78	339.11	188.78	339.11	188.78	339.11	188.78	339.11	188.78	339.11
141.17	84.00	141.17	70.00	84.00	70.00	84.00	70.00	84.00	70.00	84.00	70.00	84.00	70.00	84.00
1,276.76	1,276.76	1,276.76	1,276.76	1,276.76	1,276.76	1,276.76	1,276.76	1,276.76	1,276.76	1,276.76	1,276.76	1,276.76	1,276.76	1,276.76
111.23	84.00	111.23	84.00	111.23	84.00	111.23	84.00	111.23	84.00	111.23	84.00	111.23	84.00	111.23
129.69	84.00	129.69	84.00	129.69	84.00	129.69	84.00	129.69	84.00	129.69	84.00	129.69	84.00	129.69
1,655.00	1,655.00	1,655.00	1,655.00	1,655.00	1,655.00	1,655.00	1,655.00	1,655.00	1,655.00	1,655.00	1,655.00	1,655.00	1,655.00	1,655.00
1,733.00	1,733.00	1,733.00	1,733.00	1,733.00	1,733.00	1,733.00	1,733.00	1,733.00	1,733.00	1,733.00	1,733.00	1,733.00	1,733.00	1,733.00
1,200.00	1,200.00	1,200.00	1,200.00	1,200.00	1,200.00	1,200.00	1,200.00	1,200.00	1,200.00	1,200.00	1,200.00	1,200.00	1,200.00	1,200.00
1,408.00	1,408.00	1,408.00	1,408.00	1,408.00	1,408.00	1,408.00	1,408.00	1,408.00	1,408.00	1,408.00	1,408.00	1,408.00	1,408.00	1,408.00
1,325.00	1,325.00	1,325.00	1,325.00	1,325.00	1,325.00	1,325.00	1,325.00	1,325.00	1,325.00	1,325.00	1,325.00	1,325.00	1,325.00	1,325.00
207.37	207.37	207.37	207.37	207.37	207.37	207.37	207.37	207.37	207.37	207.37	207.37	207.37	207.37	207.37
178.40	178.40	178.40	178.40	178.40	178.40	178.40	178.40	178.40	178.40	178.40	178.40	178.40	178.40	178.40
110.54	110.54	110.54	110.54	110.54	110.54	110.54	110.54	110.54	110.54	110.54	110.54	110.54	110.54	110.54
112.99	112.99	112.99	112.99	112.99	112.99	112.99	112.99	112.99	112.99	112.99	112.99	112.99	112.99	112.99
129.36	129.36	129.36	129.36	129.36	129.36	129.36	129.36	129.36	129.36	129.36	129.36	129.36	129.36	129.36
128.97	128.97	128.97	128.97	128.97	128.97	128.97	128.97	128.97	128.97	128.97	128.97	128.97	128.97	128.97
130.82	130.82	130.82	130.82	130.82	130.82	130.82	130.82	130.82	130.82	130.82	130.82	130.82	130.82	130.82
129.26	129.26	129.26	129.26	129.26	129.26	129.26	129.26	129.26	129.26	129.26	129.26	129.26	129.26	129.26
127.04	127.04	127.04	127.04	127.04	127.04	127.04	127.04	127.04	127.04	127.04	127.04	127.04	127.04	127.04
483.38	483.38	483.38	483.38	483.38	483.38	483.38	483.38	483.38	483.38	483.38	483.38	483.38	483.38	483.38
9,336.17	9,336.17	9,336.17	9,336.17	9,336.17	9,336.17	9,336.17	9,336.17	9,336.17	9,336.17	9,336.17	9,336.17	9,336.17	9,336.17	9,336.17
2,172.32	2,172.32	2,172.32	2,172.32	2,172.32	2,172.32	2,172.32	2,172.32	2,172.32	2,172.32	2,172.32	2,172.32	2,172.32	2,172.32	2,172.32
483.38	483.38	483.38	483.38	483.38	483.38	483.38	483.38	483.38	483.38	483.38	483.38	483.38	483.38	483.38
2,055.20	2,055.20	2,055.20	2,055.20	2,055.20	2,055.20	2,055.20	2,055.20	2,055.20	2,055.20	2,055.20	2,055.20	2,055.20	2,055.20	2,055.20
11,985.87	11,985.87	11,985.87	11,985.87	11,985.87	11,985.87	11,985.87	11,985.87	11,985.87	11,985.87	11,985.87	11,985.87	11,985.87	11,985.87	11,985.87
4,993.31	4,993.31	4,993.31	4,993.31	4,993.31	4,993.31	4,993.31	4,993.31	4,993.31	4,993.31	4,993.31	4,993.31	4,993.31	4,993.31	4,993.31

**D71 - JANUARY PAYOUT DETAILS**

GROSS COMM - D71 \$ 7,899.54

PAID BY PFG \$ 3,092.51

**D71 - JANUARY PAYOUT DETAILS**

GROSS COMM - D71 \$ 7,899.54

PAID BY PFG \$ 3,092.51

**D71 - DECEMBER PAYOUT DETAILS**

GROSS COMM - D71 \$ 8,061.78

PAID BY PFG \$ 3,117.34

**D71 - NOVEMBER PAYOUT DETAILS**

GROSS COMM - D71 \$ 9,336.17

PAID BY PFG \$ 2,937.61

**M3 - JANUARY PAYOUT DETAILS**

GROSS COMM - M3 \$ 2,048.76

PAID BY PFG \$ 1,986.95

**M3 - JANUARY PAYOUT DETAILS**

GROSS COMM - M3 \$ 2,048.76

PAID BY PFG \$ 1,986.95

**M3 - DECEMBER PAYOUT DETAILS**

GROSS COMM - M3 \$ 2,081.82

PAID BY PFG \$ 1,953.45

**M3 - NOVEMBER PAYOUT DETAILS**

GROSS COMM - M3 \$ 2,172.32

PAID BY PFG \$ 2,055.20

TOTAL PAID BY CLIENT \$ 10,405.49

TOTAL PAID TO ASSET DYNAMICS \$ 5,029.46

TOTAL PAID BY CLIENT \$ 10,405.49

TOTAL PAID TO ASSET DYNAMICS \$ 5,029.46

TOTAL PAID BY CLIENT \$ 10,584.21

TOTAL PAID TO ASSET DYNAMICS \$ 5,069.79

TOTAL PAID BY CLIENT \$ 11,985.87

TOTAL PAID TO ASSET DYNAMICS \$ 4,993.31

Account Name	Act#	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
A. Kasir Amjadah IRA	MM317	\$ 436.34	\$ 124.96	\$ 115.51	\$ 112.00	\$ 84.00	\$ 84.00
	AB157	\$ 154.00	\$ 300.00	\$ 115.51	\$ 112.00	\$ 84.00	\$ 84.00
	CF171	\$ 320.00	\$ 46.30	\$ 115.51	\$ 112.00	\$ 84.00	\$ 84.00
	RC193	\$ 120.00	\$ 123.95	\$ 122.33	\$ 15.60	\$ 60.00	\$ 76.00
	WH112	\$ 81.34	\$ 59.27	\$ 80.34	\$ 26.78	\$ 80.34	\$ 80.34
	MM340	\$ 70.00	\$ 116.04	\$ 154.00	\$ 122.78	\$ 84.00	\$ 84.00
	AB164	\$ 300.00	\$ 117.71	\$ 450.00	\$ 400.00	\$ 300.00	\$ 300.00
	AB165	\$ 471.14	\$ 117.71	\$ 1,375.00	\$ 74.35	\$ 975.00	\$ 1,171.41
	CF161	\$ 500.00	\$ 117.71	\$ 480.00	\$ 460.00	\$ 380.00	\$ 360.00
Don Rook IRA	MM339	\$ 175.00	\$ 123.22	\$ 1,375.00	\$ 80.41	\$ 1,025.00	\$ 81.00
	AF112	\$ 175.00	\$ 123.22	\$ 1,375.00	\$ 80.41	\$ 1,025.00	\$ 81.00
	CF178	\$ 200.00	\$ 23.97	\$ 480.00	\$ 109.63	\$ 389.00	\$ 113.00
Walker Barnett IRA	MM347	\$ 500.00	\$ 112.42	\$ 650.00	\$ 66.45	\$ 975.00	\$ 131.86
	AF116	\$ 500.00	\$ 112.42	\$ 650.00	\$ 66.45	\$ 975.00	\$ 131.86
	CF169	\$ 200.00	\$ 112.42	\$ 480.00	\$ 116.43	\$ 380.00	\$ 119.79
Fields Living Trust	MM332	\$ 500.00	\$ 115.07	\$ 1,325.00	\$ 72.06	\$ 975.00	\$ 65.00
	AF116	\$ 500.00	\$ 115.07	\$ 1,325.00	\$ 72.06	\$ 975.00	\$ 65.00
	CF162	\$ 200.00	\$ 115.07	\$ 480.00	\$ 116.41	\$ 360.00	\$ 360.00
Vernon Hansen IRA	MM349	\$ 625.00	\$ 117.95	\$ 600.00	\$ 119.23	\$ 470.00	\$ 450.00
	BC145	\$ 625.00	\$ 117.95	\$ 600.00	\$ 119.23	\$ 470.00	\$ 450.00
	WH120	\$ 200.00	\$ 36.14	\$ 480.00	\$ 127.09	\$ 360.00	\$ 250.00
Albert Springer Trust	MM334	\$ 800.00	\$ 124.14	\$ 800.00	\$ 107.53	\$ 396.83	\$ 377.32
	CF173	\$ 800.00	\$ 124.14	\$ 800.00	\$ 107.53	\$ 396.83	\$ 377.32
Chris McCormack IRA	MM318	\$ 200.00	\$ 23.97	\$ 480.00	\$ 109.64	\$ 380.00	\$ 14.00
	AB167	\$ 200.00	\$ 23.97	\$ 480.00	\$ 109.64	\$ 380.00	\$ 14.00
	CF177	\$ 200.00	\$ 23.97	\$ 480.00	\$ 109.64	\$ 380.00	\$ 14.00
Ruth B. Moeller Trust	MM342	\$ 400.00	\$ 107.69	\$ 480.00	\$ 127.09	\$ 360.00	\$ 360.00
	CF189	\$ 400.00	\$ 107.69	\$ 480.00	\$ 127.09	\$ 360.00	\$ 360.00
Thomas Roach IRA	MM343	\$ 400.00	\$ 36.14	\$ 480.00	\$ 127.09	\$ 360.00	\$ 360.00
	CF181	\$ 400.00	\$ 36.14	\$ 480.00	\$ 127.09	\$ 360.00	\$ 360.00
Richard Siff IRA	MM344	\$ 420.00	\$ 71.61	\$ 420.00	\$ 71.61	\$ 380.00	\$ 360.00
	CF182	\$ 420.00	\$ 71.61	\$ 420.00	\$ 71.61	\$ 380.00	\$ 360.00
Nancy Malone IRA	MM346	\$ 450.00	\$ 63.26	\$ 450.00	\$ 450.00	\$ 390.00	\$ 360.00
	CF184	\$ 450.00	\$ 63.26	\$ 450.00	\$ 450.00	\$ 390.00	\$ 360.00
	WH127	\$ 200.00	\$ 20.67	\$ 420.00	\$ 123.06	\$ 380.00	\$ 360.00
Bradley Ritterspach	MM348	\$ 400.00	\$ 20.67	\$ 420.00	\$ 123.06	\$ 380.00	\$ 360.00
	CF186	\$ 400.00	\$ 20.67	\$ 420.00	\$ 123.06	\$ 380.00	\$ 360.00
Klirr Comer-Stealey	MM350	\$ 154.00	\$ 128.00	\$ 154.00	\$ 112.00	\$ 84.00	\$ 84.00
	M1285	\$ 154.00	\$ 128.00	\$ 154.00	\$ 112.00	\$ 84.00	\$ 84.00
	M1296	\$ 475.00	\$ 475.00	\$ 475.00	\$ 126.56	\$ 399.38	\$ 376.27
	D71	\$ 1,158.95	\$ 1,158.95	\$ 1,158.95	\$ 1,158.95	\$ 1,158.95	\$ 1,158.95
	M3	\$ 2,848.22	\$ 2,848.22	\$ 2,848.22	\$ 2,848.22	\$ 2,848.22	\$ 2,848.22
Total		\$ 4,899.54	\$ 4,899.54	\$ 4,899.54	\$ 4,899.54	\$ 4,899.54	\$ 4,899.54
Commission Received/Paid		\$ 4,175.34	\$ 7,422.34	\$ 11,437.34	\$ 7,172.09	\$ 9,713.55	\$ 8,427.89
Payroll at 7.5% Discrepancy		\$ 3,131.51	\$ 5,566.76	\$ 6,578.01	\$ 5,379.07	\$ 7,285.15	\$ 6,120.77
Total Discrepancy		\$ 1,043.83	\$ 1,897.24	\$ 2,859.33	\$ 1,792.93	\$ 2,749.45	\$ 2,266.67
Paid over next 3 Month Period		\$ 14,638.62	\$ 4,899.54	\$ 14,638.62	\$ 4,899.54	\$ 14,638.62	\$ 4,899.54



\$ 346.84	\$ 110.76	\$ 84.00	\$ 103.56	\$ 140.00	\$ 114.53
\$ 126.14	\$ 75.00	\$ 124.68	\$ 15.00	\$ 125.09	\$ 111.28
\$ 110.02	\$ 26.78	\$ 105.23	\$ 26.78	\$ 104.31	\$ 116.93
\$ 118.87	\$ 84.00	\$ 117.34	\$ 117.34	\$ 140.00	\$ 121.48
\$ 110.06	\$ 300.00	\$ 108.04	\$ 108.04	\$ 500.00	\$ 111.28
\$ 81.66	\$ 615.00	\$ 72.94	\$ 72.94	\$ 800.00	\$ 88.56
\$ 126.35	\$ 360.00	\$ 120.13	\$ 120.13	\$ 420.00	\$ 116.93
\$ 85.35	\$ 625.00	\$ 76.54	\$ 76.54	\$ 800.00	\$ 111.28
\$ 116.25	\$ 360.00	\$ 110.15	\$ 110.15	\$ 420.00	\$ 106.07
\$ 134.36	\$ 625.00	\$ 126.68	\$ 126.68	\$ 800.00	\$ 101.06
\$ 121.14	\$ 360.00	\$ 116.53	\$ 116.53	\$ 426.00	\$ 112.82
\$ 91.30	\$ 625.00	\$ 82.50	\$ 82.50	\$ 800.00	\$ 78.21
\$ 123.73	\$ 360.00	\$ 117.52	\$ 117.52	\$ 420.00	\$ 113.41
\$ 125.27	\$ 450.00	\$ 116.86	\$ 116.86	\$ 525.00	\$ 114.53
\$ 118.32	\$ 100.00	\$ 109.85	\$ 109.85	\$ 150.00	\$ 166.86
\$ 113.66	\$ 375.39	\$ 107.62	\$ 107.62	\$ 439.22	\$ 103.47
\$ 44.06	\$ 84.00	\$ 122.63	\$ 122.63	\$ 160.00	\$ 127.05
\$ 116.33	\$ 360.00	\$ 110.13	\$ 110.13	\$ 420.00	\$ 106.06
\$ 132.45	\$ 360.00	\$ 125.40	\$ 125.40	\$ 420.00	\$ 121.33
\$ 131.53	\$ 360.00	\$ 124.48	\$ 124.48	\$ 420.00	\$ 120.40
\$ 131.14	\$ 360.00	\$ 126.09	\$ 126.09	\$ 420.00	\$ 122.82
\$ 39.34	\$ 26.78	\$ 57.65	\$ 57.65	\$ 420.00	\$ 120.70
\$ 120.70	\$ 253.69	\$ 122.21	\$ 122.21	\$ 649.22	\$ 57.00
M3	M3	M3	M3	\$ 140.00	\$ 117.56
M3	M3	M3	M3	\$ 436.62	M3
\$ 1682.45	\$ 421.25	\$ 124.10	\$ 110.00	\$ 360.00	\$ 267.60
\$ 1682.45	\$ 421.25	\$ 124.10	\$ 110.00	\$ 360.00	\$ 267.60
\$ 1682.45	\$ 421.25	\$ 124.10	\$ 110.00	\$ 360.00	\$ 267.60
\$ 1682.45	\$ 421.25	\$ 124.10	\$ 110.00	\$ 360.00	\$ 267.60
\$ 1682.45	\$ 421.25	\$ 124.10	\$ 110.00	\$ 360.00	\$ 267.60

JANUARY

FEBRUARY

\$ 8,246.90

\$ 10,143.84

\$ 6,185.21

\$ 7,807.86

\$ 1,612.34

\$ 2,728.12

Account Name	Acct #	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
A. Kasta Amalgamated IRA	MM317	\$ 154.00	\$ 154.00	\$ 154.00	\$ 112.00	\$ 84.00	\$ 81.00
	AB157	\$ 320.00	\$ 380.00	\$ 124.96	\$ 117.55	\$ 112.00	\$ 112.00
	CF171	\$ 120.00	\$ 100.00	\$ 122.33	\$ 15.00	\$ 60.00	\$ 70.00
	RC193	\$ 81.34	\$ 80.34	\$ 118.93	\$ 26.74	\$ 80.34	\$ 80.34
	WH412	\$ 78.00	\$ 78.00	\$ 116.04	\$ 112.00	\$ 84.00	\$ 84.00
	MM340	\$ 116.04	\$ 116.04	\$ 116.04	\$ 112.00	\$ 84.00	\$ 84.00
	AB164	\$ 320.00	\$ 320.00	\$ 320.00	\$ 320.00	\$ 320.00	\$ 320.00
	MM341	\$ 116.04	\$ 116.04	\$ 116.04	\$ 112.00	\$ 84.00	\$ 84.00
	AB165	\$ 320.00	\$ 320.00	\$ 320.00	\$ 320.00	\$ 320.00	\$ 320.00
	CF161	\$ 500.00	\$ 500.00	\$ 500.00	\$ 485.00	\$ 485.00	\$ 485.00
Don Root, IRA	MM339	\$ 175.00	\$ 175.00	\$ 175.00	\$ 175.00	\$ 175.00	\$ 175.00
	CF172	\$ 175.00	\$ 175.00	\$ 175.00	\$ 175.00	\$ 175.00	\$ 175.00
	CF178	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00
	MM347	\$ 175.00	\$ 175.00	\$ 175.00	\$ 175.00	\$ 175.00	\$ 175.00
Walker Barnett IRA	CF116	\$ 500.00	\$ 500.00	\$ 500.00	\$ 500.00	\$ 500.00	\$ 500.00
	CF169	\$ 175.00	\$ 175.00	\$ 175.00	\$ 175.00	\$ 175.00	\$ 175.00
Fields Living Trust	MM332	\$ 500.00	\$ 500.00	\$ 500.00	\$ 500.00	\$ 500.00	\$ 500.00
	AB118	\$ 500.00	\$ 500.00	\$ 500.00	\$ 500.00	\$ 500.00	\$ 500.00
	CF162	\$ 500.00	\$ 500.00	\$ 500.00	\$ 500.00	\$ 500.00	\$ 500.00
	MM349	\$ 500.00	\$ 500.00	\$ 500.00	\$ 500.00	\$ 500.00	\$ 500.00
Vernon Hansen IRA	BC145	\$ 125.25	\$ 125.25	\$ 125.25	\$ 125.25	\$ 125.25	\$ 125.25
	WH428	\$ 125.25	\$ 125.25	\$ 125.25	\$ 125.25	\$ 125.25	\$ 125.25
Albert Spranger Trust	MM334	\$ 124.14	\$ 124.14	\$ 124.14	\$ 124.14	\$ 124.14	\$ 124.14
	CF173	\$ 124.14	\$ 124.14	\$ 124.14	\$ 124.14	\$ 124.14	\$ 124.14
Chris McCormick IRA	AB167	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00
	CF177	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00
Ruth B. Meeker Trust	MM342	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00
	CF160	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00
Thomas Roach IRA	MM344	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00
	CF161	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00
Richard SUR IRA	MM346	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00
	CF164	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00
Nancy Malone IRA	WH412	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00
	MM348	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00
Beadly Bitespach	CF165	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00
	MM350	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00
Kini Conifer-Sealey	M3265 - D71	\$ 126.00	\$ 126.00	\$ 126.00	\$ 126.00	\$ 126.00	\$ 126.00
	M3265 - M3	\$ 475.00	\$ 475.00	\$ 475.00	\$ 475.00	\$ 475.00	\$ 475.00
	M3266	\$ 475.00	\$ 475.00	\$ 475.00	\$ 475.00	\$ 475.00	\$ 475.00
D71		\$ 1,424.00	\$ 1,424.00	\$ 1,424.00	\$ 1,424.00	\$ 1,424.00	\$ 1,424.00
		\$ 1,424.00	\$ 1,424.00	\$ 1,424.00	\$ 1,424.00	\$ 1,424.00	\$ 1,424.00
M3		\$ 2,848.00	\$ 2,848.00	\$ 2,848.00	\$ 2,848.00	\$ 2,848.00	\$ 2,848.00
		\$ 2,848.00	\$ 2,848.00	\$ 2,848.00	\$ 2,848.00	\$ 2,848.00	\$ 2,848.00
Total		\$ 443.72	\$ 443.72	\$ 443.72	\$ 443.72	\$ 443.72	\$ 443.72
		\$ 443.72	\$ 443.72	\$ 443.72	\$ 443.72	\$ 443.72	\$ 443.72

Account Name	Acct #	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
Commissions Received		\$ 4,170.34	\$ 7,478.34	\$ 11,437.34	\$ 7,172.09	\$ 9,813.55	\$ 8,522.00
Payroll at 75% Discrepancy		\$ 3,131.51	\$ 5,688.76	\$ 6,578.01	\$ 5,379.07	\$ 7,360.16	\$ 6,381.92
Total Discrepancy		\$ (676.10)	\$ 1,549.44	\$ 4,778.80	\$ 700.00	\$ 2,624.45	\$ 1,767.17
Total Discrepancy		\$ 15,094.50					
Paid Monthly over next 3 Month Period		\$ 5,011.92					

\$	110.76	\$	94.00	\$	384.11	\$	140.00	\$	114.53
\$	125.14	\$	75.00	\$	124.59	\$	15.00	\$	125.09
\$	110.02	\$	26.78	\$	165.23	\$	26.78	\$	104.31
\$	118.82	\$	84.00	\$	117.34	\$	140.00	\$	121.48
\$	110.06	\$	300.00	\$	109.04	\$	500.00	\$	111.28
\$	81.86	\$	625.00	\$	72.94	\$	800.00	\$	68.55
\$	126.35	\$	360.00	\$	120.13	\$	420.00	\$	116.03
\$	85.35	\$	625.00	\$	76.54	\$	600.00	\$	71.34
\$	116.35	\$	360.00	\$	110.15	\$	420.00	\$	106.07
\$	134.38	\$	625.00	\$	125.68	\$	600.00	\$	121.08
\$	123.14	\$	360.00	\$	116.93	\$	420.00	\$	112.62
\$	91.30	\$	625.00	\$	82.80	\$	800.00	\$	76.21
\$	123.13	\$	360.00	\$	117.52	\$	420.00	\$	113.41
\$	110.77	\$	450.00	\$	118.86	\$	625.00	\$	114.53
\$	110.32	\$	300.00	\$	109.85	\$	150.00	\$	106.86
\$	113.86	\$	375.35	\$	107.62	\$	439.22	\$	103.47
\$	44.06	\$	84.00	\$	122.69	\$	140.00	\$	127.05
\$	116.33	\$	360.00	\$	110.13	\$	420.00	\$	106.86
\$	132.45	\$	360.00	\$	125.40	\$	420.00	\$	121.33
\$	131.53	\$	360.00	\$	124.48	\$	420.00	\$	120.40
\$	133.14	\$	360.00	\$	126.09	\$	420.00	\$	122.07
\$	131.82	\$	360.00	\$	124.77	\$	420.00	\$	120.76
\$	36.34	\$	26.78	\$	57.85	\$	26.78	\$	51.00
\$	129.70	\$	553.95	\$	122.21	\$	649.22	\$	117.56
M3		\$	84.00	M3		\$	140.00	M3	
M3		\$	374.15	M3		\$	438.62	M3	
\$	248.130	\$		\$		\$		\$	
\$	3,052.46	\$		\$		\$		\$	
\$	42,126	\$		\$		\$		\$	
\$	124,410	\$		\$		\$		\$	
\$	3,060.00	\$		\$		\$		\$	

FEBRUARY

JANUARY

\$ 8,357.73  
 \$ 6,268.30  
 \$ 1,695.42

\$ 10,310.62  
 \$ 7,732.97  
 \$ 2,864.21